



APPLICATION FORM FOR THE MEMBERSHIP OF SUSTAINABLE MINING INITIATIVE (SMI)

Please send the filled application form along with the Undertaking provided below by email to smi@fedmin.com and hard-copy to the address given below:

FIMI-Sustainable Mining Initiative, FIMI House, B-311, Okhla Industrial Area Phase I, NEW DELHI - 110 020, Tel.: (011) 26814595-97

S.NO.	PARTICULARS	DETAILS	
1	NAME OF THE COMPANY		
2	CONTACT PERSON	NAME DESIGNATION CONTACT DETAILS	
3	COMPANY ADDRESS	ADDRESS CITY DISTRICT STATE PIN CODE PHONE FAX E-MAIL WEBSITE	
4	TYPE OF COMPANY (TICK MARK IN THE RESPECTIVE COLUMN)	PSU-(STATE/CENTRA	AL)
a.	MEMBERSHIP FEE (Associate Member)	Rs. 2 Lakh + GST @ 18%	
b.	MODE OF PAYMENT (*)	For RTGS / NEFT Deta	ails:
		Bank Name Bank Account Name Bank Account No. Bank Type NEFT / RTGS Bank Address	MINING INITIATIVE : 30777203888 : SB Account

		Or			
		Demand Draft in favor of "FIMI-Sustainable Mining Initiative" to be sent at:			
		Federation of Indian Mineral Industries FIMI House, B-311, Okhla Industrial Area Phase I, NEW DELHI - 110 020 Tel.: (011) 26814595-97			
		Kindly note our GST No. 07AAATF0348Q1ZG			
With this application, I am paying the SMI membership administrative fee-Amount (Rs. 2.0 Lakh + GST @ 18%)					
N	NAME				
D	DESIGNATION				
SI	SIGNATURE				

CONTACT NO.....





CODE OF CONDUCT

UNDERTAKING

I hereby apply for Membership of Sustainable Mining Initiative (SMI) of the Federation of Indian Mineral Industries.

I undertake that, if accepted as a member:

- 1. I will, so long as I remain a member of SMI, comply with the regulations of the SMI for the time being in force.
- 2. I will use the "Membership of the SMI" as long as I remain a member of FIMI.
- 3. I will uphold and abide by the SMI's Code of Conduct, and work actively towards improving my organization's sustainability performance.

I declare that the whole of the information contained in this application is true, accurate and complete to the best of my knowledge and belief. I acknowledge that any statement contained herein, which is known by me to be false, may invalidate this application and any decision reached thereon.

I undertake to abide by the SMI's Code of Conduct and to pay all subscriptions/fees as may be levied. I understand that my acceptance as a member is conditional on this undertaking.

NAME OF AUTHORISED SIGNATOR	Y: _	
AUTHROZIED SIGNATURE & SEAL	: _	
	_	
DATE OF SIGNATURE	: _	

CODE OF CONDUCT FOR SMI MEMBERS

SMI's Code of Conduct (CoC) helps the mining sector address sustainability issues. CoC is a set of 10 sustainable mining principles for voluntary adoption by FIMI members and on adopting the code, the FIMI member becomes a member of SMI.

With the adoption of Code of Conduct, the member companies also make a voluntary commitment to improve their sustainability performance gradually. To evaluate the progress made towards sustainable mining, SMI conducts an annual desktop assessment of its members' performance based on these 10 principles. The performance assessment is being published on our website, after seeking inputs from members.

The adoption of "Code of Conduct" is expected to lead to a positive change in society's perceptions about the mining industry and reduce the tough stance of government, judiciary and society in general.

Principle 1

Integrate sustainable development considerations within the corporate decision making

- 1. Integration of sustainable principles into company policies.
- 2. Plan, design, operate & close operations to enhance sustainable development.
- 3. Encourage and ensure good practice & innovation to improve sustainable performance.
- 4. Encourage customers, business partners and suppliers of goods and services to adopt principles that are comparable to our own.
- 5. Provide sustainable development training at all levels.
- 6. Support public policies and practices that foster open and competitive markets.

Principle 2

Conduct business with ethical practices and sound systems of corporate governance

- 1. Develop and implement ethical business practices.
- 2. Comply with or continually exceed the requirements of the laws and regulations.
- 3. Work with the governments, industry and other stakeholders to evolve appropriate public policy, laws and regulations that contribute to national sustainable development.

Principle 3

Implement risk management strategies based on valid data and sound science

1. Conduct base line survey.

- 2. Stakeholder's engagement in management of social, health, safety, environmental and economic impacts.
- 3. Undertake risk management systems.
- 4. Inform potentially affected parties of significant risks.
- 5. Develop and maintain effective emergency response measures.

Principle 4

Seek Continual Improvement in health and safety performance

- 1. Focused systems for improvement of all aspects of operations that could have impact on the health and safety.
- 2. Provide necessary medical facilities.
- 3. Talk all practical measures to eliminate workplace fatalities, injuries and diseases.
- 4. Provide all employees and those of contractors with health and safety training.
- 5. Implement regular health surveillance and risk based monitoring of employees.

Principle 5

<u>Seek continual improvement of our environment performance based on a precautionary approach</u>

- 1. Assess the positive and negative, the direct and indirect and the cumulative environment impacts of new projects.
- 2. Focused environmental management system to mitigate adverse environmental impacts.
- 3. Involve local communities and authorities to avoid or minimize local and regional environmental impacts.
- 4. Rehabilitate land disturbed or occupied by operations with appropriate post mining land uses.

Principle 6

Uphold fundamental human rights and respect cultures, customs and values in dealings with employees and others who are affected by our activities

- 1. Ensure fair remuneration and work conditions and ban forced, compulsory or child labour.
- 2. Provide for the constructive engagement of employees in upholding the freedom of association and right to collective bargaining.
- 3. Implement policies and practices to eliminate unfair discrimination.
- 4. Provide all staffs including subcontractors with appropriate cultural and human rights training.
- 5. Minimize involuntary resettlement and compensate fairly for adverse effects on the community where they cannot be avoided.

Principle 7

Contribute to conservation of biodiversity and integrated approaches to land use planning and management

- 1. Respect legally designated protected areas and culturally sensitive sacred grooves.
- 2. Emphasis on restoration of natural ecosystems to rebuild local bio diversity.
- 3. Disseminate scientific data and promote practices in biodiversity assessment and management.

Principle 8

<u>Facilitate and encourage responsible use, reuse and recovery of mined materials</u> including associated natural resources

- 1. Advance understanding of the properties of mined out resources and their lifecycle effects.
- 2. Conduct and support research that promotes effective use of resources.
- 3. Develop and promote the concept of integrated resource management.
- 4. Provide regulators and other stakeholders with scientifically sound data.
- 5. Support the development of scientifically sound policies, regulations, standards and material choice decision that encourage the safe use of mined out material.
- 6. Provide for safe storage and disposal of residual wastes and process residues.

Principle 9

<u>Contribute to the social, economic and institutional development of the communities in which we operate</u>

- 1. Engage community to discuss and respond to issues and conflict concerning the management of social impacts.
- 2. Involve affected communities in developing work programs and determining outcomes.
- 3. Encourage partnerships with governments and non-governmental organizations for effectively delivered of programmes.
- 4. Contribute to skill and capacity building of individuals and community groups.

Principle 10

Implement effective and transparent engagement, communication and verifiable reporting arrangements with our stakeholders

1. Engage with and respond to stakeholders through open and a participative consultation process.

- 2. Constantly document and report on economic, social and environmental performance.
- 3. Exchange data, implementation procedures, and experiences even personal wherever necessary.
- 4. Provide information that is independently verifiable.